Automatic Music Transcription: from Monophonic to Polyphonic

F. Argenti, P. Nesi, G. Pantaleo

Department of Systems and Informatics http://www.disit.dsi.unifi.it University of Florence Via S. Marta 3, Florence 50139, Italy e-mail: <u>nesi@dsi.unifi.it</u>

Abstract

Music understanding from audio track and performance is a key problem and a challenge for many applications ranging from: automated music transcoding, music education, interactive performance, etc. The transcoding of polyphonic music is a one of the most complex and still open task to be solved in order to become a common tool for the above mentioned applications. Techniques suitable for monophonic transcoding have shown to be largely unsuitable for polyphonic cases. Recently, a range of polyphonic understanding algorithms and models have been proposed and compared against worldwide accepted test cases such as those adopted in the MIREX competition. Several different approaches are based on techniques such as: pitch trajectory analysis, harmonic clustering, bispectral analysis, event tracking, nonnegative matrix factorization, hidden Markov model. The chapter will focus on analyzing the evolution of music understanding algorithms and models from monophonic to polyphonic, showing and comparing the solutions, while commenting them against commonly accepted assessment methods and formal metrics.

2.1 Introduction

Music Information Retrieval (MIR) multidisciplinary research field has revealed a great increment in academic interest in the last fifteen years, although yet barely comparable to the commercial involvement grown around speech recognition. It must be noticed that music information is much more complex than speech information, both from a physical (range of frequency analysis) and a semantic (big number, high complexity and many abstraction levels of the possible queries) point of view.

Automatic transcription is a specific task within MIR, and it is considered one of the most difficult and challenging problems. It is here defined as the process of both analyzing a musical recorded signal, or a musical performance, and converting it into a symbolic notation (a musical score or sheet) or any equivalent representation concerning note parameters such as pitch, onset time, duration and intensity.

A musical signal is generally understood as composed by a single or a mixture of approximately periodic, locally stationary acoustic waves. According to the Fourier representation, any finite energy signal is represented as the sum of an infinite number of sinusoidal components weighted by appropriate amplitude coefficients. A musical sound is a particular case where, ideally, frequency values of single harmonic components are integer multiples of the first one, called fundamental frequency (defined as F0, which is the perceived pitch). Many real instruments, however, produce sounds having not exactly harmonically spaced partials. The phenomenon is called *partial inharmonicity*, and it was analytically described by Fletcher and Rossing (Fletcher and Rossing 1998), and brought to the attention of music transcription research community by Klapuri (Klapuri 2004a).

A major distinctive cue in music transcoding is given by the number of voices a music piece consists of: there can be only one voice playing at each time; these cases are treated as a *monophonic transcription* task. On the contrary, if several voices are played simultaneously, we deal with a *polyphonic transcription* process. The former is currently considered a resolved problem, while the latter is still far from being successfully settled, and additional difficulties arise in presence of multi-instrumental contexts. Development of techniques for monophonic pitch detection has received a greater attention and deeper interest for speech analysis, rather than for music, even in quite recent literature. In Figure 2.1, some examples of the spectral content of typical audio signals, are shown.

Difficulties arise in polyphonic music transcription since two or more concurrent sounds may contain partials which share the same frequency values. This generates the well known problem of partials overlapping, which is one of the main reasons why simple amplitude spectral analysis is considered inadequate, if not joined to other signal processing techniques or *a priori* knowledge resources.



Fig. 2.1. Amplitude spectrum representation of some typical audio signals. Noteworthy is the increasing complexity of the spectral content, as the number of concurrent playing voices increases.

Retaining the parallel between speech and music, music notation is mainly a set of instruction for a musical performance, rather than a representation of a musical signal (Klapuri 2004b); in the same way, written text is to be considered as the equivalent for speech. The main difference is that music information is much more multi-faceted, since it includes many different levels of information (note pitch, harmonic and rhythmic information, indications for expression, dynamics...). This aspect suggests a decomposition of the problem as an efficient processing approach. Quite recently, some specialized sub-areas of this research field have been developed, dealing with more limited transcription tasks, such as the extraction of melody or bass lines within a polyphonic mixture of sounds. Besides, modularity is a similar aspect observed also in the human brain (Klapuri 2004a), (Perez and Coltheart 2003). The human auditory system (the inner hear, together with the part of the brain appointed to music cognition) results to be the most reliable acoustic analysis tool (Klapuri 2004a). Actually, an expert musician can accomplish the task of music transcription, relying also on a set of knowledge sources (musicological models, harmonic rules, experience...). Such skills are difficult to be coded and wrapped into an algorithmic procedure.

Many efforts have been made to realize exhaustive reviews of automatic transcription methods. Remarkable works are the ones by Rabiner (Rabiner 1977a) for monophonic transcription, and by Bello (Bello 2003), Klapuri (Klapuri 2004a), (Klapuri 2004b), Brossier (Brossier 2006) and Yeh (Yeh 2008) also for polyphonic transcription. However, it is difficult to categorize music transcription methods according to any single taxonomy, since human capability to achieve the comprehension of music transcription is understood as the sum of two different attitudes: the bottom-up and the top-down processing. This suggests a first boundary of classification, given by the following approaches:

- The bottom-up processing, or *data-driven model*, starts from low level elements (the raw audio samples) and it uses processing blocks to analyze and cluster these elements in order to gather the required information.
- The top-down processing, or *prediction-driven model*, starts from information at a higher level (based on external knowledge) and it uses such information to understand and explain elements at lower hierarchy levels (*physical stimuli*).

We have considered this, reported by Bello (Bello 2003), as the most general categorization criterion for the music transcription problem, since these two approaches are non-mutual-exclusive, and contain ideally all the other fields of codification we intend to review in the following.

There are many reviews of automatic music transcription methods in literature, and most of them present their own criteria, upon which the different front ends, used to obtain a useful mid-level representation of the audio input signal, are grouped together. One of the most commonly used criterion, adopted by Gerhard (Gerhard 2003), Brossier (Brossier 2006) and Yeh (Yeh 2008), is based on a differentiation at signal analysis level:

- Time domain analysis: systems belonging to this category process the audio waveform in order to obtain information about pitches (periodicities of the audio signal) or onset times. In general, this family of methods is suitable for monophonic transcription.
- Frequency domain analysis: methods belonging to this class vary from spectral analysis (FFT, cepstrum, multi-resolution filtering, Wavelet transform and related variants) to auditory models developed in the first 90s within the Computational Auditory Scene Analysis (CASA) framework (Slaney and Lyon 1990), (Ellis 1996), (Meddis 1997), as well as many spectral matching or spectral features extraction techniques.

Another classification concept is reported by Yeh (Yeh 2008), for whom music transcription methods can be catalogued into two different approaches:

• Iterative estimation: such principle refers to all the methods which iteratively estimate predominant F0, and subsequently cancel the residual harmonic pattern of estimated notes from the observed spectrum, processing the residual

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until a stop criterion is met; usually, a condition related to residual energy is adopted. The block diagram of this architecture is shown in Figure 2.2.



Fig. 2.2. Iterative $F\theta$ estimation and harmonic cancellation architecture, according to the system proposed by Klapuri (Klapuri 2003).

• Joint estimation: under this approach we find algorithms that jointly evaluate many hypotheses on FO estimation, without involving any cancellation. These solutions include the use of salience functions or other knowledge source, in order to facilitate spectral peak-picking, and other frameworks like Martin's Blackboard architecture (Martin 1996a). This name comes from the metaphor of a group of researchers standing in front of a blackboard, working to find out the solution to a problem. This framework is a problem-solving model, which integrates knowledge from different sources and allows the interaction of different parts of the model. An expert musical knowledge, integrated with signal processing and other physical, engineering or mathematical frameworks, is considered useful to accomplish the task of automatic music transcription. Another sub-group belonging to the Joint Estimation category is the spectral matching by parametric/non parametric models, like Non-negative Matrix Approaches (NMA) including Non-negative Matrix Factorization (NMF), frequently used in recent literature (Virtanen 2007), (Cont et al. 2007), (Vincent et al. 2008).

Another categorization to be highlighted is often included in frequency analysis or joint estimation classes in the above mentioned review works: statistical versus non statistical framework. The statistical-inference approach generally aims at jointly performing F0 estimation and tracking of temporal parameters (onsets and durations) from a time-frequency representation of the input signal. In these models, the quantities to be inferred are considered as a set of hidden variables. The probabilistic model relates these variables to the observation variable sequence (the input signal or a mid-level representation) by using a set of properly defined parameters. Statistical frameworks frequently used for automatic music transcription are Bayesian networks (Kashino et al. 1995), (Cemgil and Kappen

2006) or Hidden Markov Models (HMM) (Ryynänen and Klapuri 2005), (Chang et al. 2008).

Finally, another pivotal aspect is the evaluation of the transcription systems proposed so far. The absence of formalized paradigms to compare different methods, the necessity of commonly accepted evaluation criteria, and finally the difficulties to collect large enough databases (often due to intellectual property rights restrictions, which is another important difference with the speech recognition research area) led the IMIRSEL (International Music Information Retrieval Systems Evaluation Laboratory) community to create, in 2005, the MIREX (Music Information Retrieval Evaluation eXchange) evaluation framework. In few editions, MIREX has already become a worldwide accepted, standard reference for the evaluation of submitted methods and algorithms aimed at resolving several MIR proposed tasks , including polyphonic pitch estimation and note tracking. The tasks, the evaluation material and conditions, as well as many other elements of the MIREX architecture are defined and discussed within the whole community, thus reflecting its own interests and accomplishing the necessity of formality and repeatability.

2.1.1 State of the Art

In literature, a large variety of methods for both monophonic and polyphonic music transcription has been realized. Monophonic transcription solutions were the first to be proposed, starting from the second half of the 60s, in parallel with the initial development of the newly-born speech processing; in fact, monophonic pitch detection was basically applied for speech recognition purposes. Some of these methods were based on time-domain techniques like Zero Crossing Rate (Miller 1975), or on autocorrelation function (ACF) in the time-domain (Rabiner 1977b), as well as parallel processing (Gold and Rabiner 1969) or Linear Predictive Coding (LPC) analysis (Markel 1972).

First attempts of performing polyphonic music transcription started in the late 1970s, with the pioneering work of Moorer (Moorer 1977) and Piszczalski and Galler (Piszczalski and Galler 1977). As time went by, the commonly-used frequency representation of audio signals as a front-end for transcription systems has been developed in many different ways, and several techniques have been proposed. Klapuri (Klapuri 2003), (Klapuri 2005) performed an iterative predominant *F*0 estimation and a subsequent cancelation of each harmonic pattern from the spectrum; Nawab (Nawab 2001) used an iterative pattern matching algorithm upon a constant-Q spectral representation. In the early 1990s, other approaches began to develop, based on applied psycho-acoustic models and also known as *Computational Auditory Scene Analysis (CASA)*, from the work by Bregman (Bregman 1990), started to be developed. This framework was focused on the idea of formulating a computational model of the human inner ear system,

which is known to work as a frequency-selective bank of passband filters; techniques based on this model, formalized by Slaney and Lyon (Slaney and Lyon 1990), were proposed by Ellis (Ellis 1996), Meddis and O'Mard (Meddis and O'Mard 1997), Tolonen and Karjalainen (Tolonen and Karjalainen 2000) and Klapuri (Klapuri 2008). Marolt (Marolt 2001), (Marolt 2004) used the output of adaptive oscillators as a training set for a bank of neural networks to track partials of piano recordings. A systematic and collaborative organization of different approaches to the music transcription problem is the mainstay of the idea expressed in the Blackboard Architecture proposed by Martin (Martin 1996a). More recently, physical (Ortiz-Berenguer et al. 2005) and musicological models, like average harmonic structure (AHS) extraction in (Duan et al. 2008), as well as other a priori knowledge (Kameoka et al. 2007), and possibly temporal information (Bello et al. 2006) have been joined to the audio signal analysis in the frequency-domain to improve transcription systems performances. Other frameworks rely on statistical inference, like hidden Markov models (Raphael 2002), (Ryynänen and Klapuri 2005), (Chang et al. 2008), Bayesian networks (Kashino et al. 1995), (Cemgil and Kappen 2006) or Bayesian models (Godsill et al. 2006), (Dubois and Davy 2007). Others systems were proposed, aiming at estimating the bass line (Ryynänen and Klapuri 2007), or the melody and bass lines in musical audio signals (Goto 2000), (Goto 2004). Currently, the approach based on non-negative matrix approximation (Raczynksi et al. 2007), in different versions like nonnegative matrix factorization of spectral features (Smaragdis and Brown 2003), (Virtanen 2007), (Cont et al. 2007), (Vincent et al. 2008), has received much attention within the music transcription community.

2.2 Methods Overview and Comparison

In this section, a comparative review of some of the most important and cited music transcription systems is proposed. This review is not meant to be as an exhaustive and omni-comprehensive work, although it covers large part of the literature, starting from the first pioneering methods, realized at the end of the 70s, until nowadays. The aim is to illustrate the evolution of the state of the art, which is supposed to run in parallel with the development of technology in the fields of signal processing and computational elaboration power. In Figure 2.3, a functional block diagram related to the general architecture of an automatic music transcription system, is shown.





Fig. 2.3. General architecture of an automatic music transcription system.

A **Pre-Processing** module is generally assigned to segment the input signal into frames, and to compute the mid-level representation (spectral analysis, auditory model based representation etc...). The retrieval of pitch information and note temporal parameters is performed usually by dedicated modules, referred to as **Pitch Estimation** and **Time Information Estimation** in Figure 2.3. To achieve better transcription accuracies, additional **Knowledge Sources** (harmonic/instrumental models, training databases etc...) are often implemented in transcription systems, for many different purposes. Finally, a **Post-Processing** module groups all the detected note information and converts it into an appropriate output format (MIDI file, piano-roll or note parameters list).

In the following, a multi-field classification is proposed through the use of a set of parameters which can be helpful to highlight the main characteristics and peculiarities of different algorithms, without forcing a strict categorization, not even focusing on specific parts of the processing framework. For this reason, the overview of each system includes information about all the different elements of the architecture: signal processing, pitch estimation and rhythm information extraction, I/O parameters and other computational aspects. The comparison summary is reported in Table 2.1. A tabular view has been chosen in order to maximize hint facilities, similarly to the one adopted by Klapuri (Klapuri 2004a). Systems are sorted by rows, in a chronological sequence. The columns report different fields describing the most interesting aspects of the architecture for the reviewed algorithms. They are defined as follows:

• **Reference**: this field contains the reference to the authors of each system. Where needed, the research group is specified. In the past years of automatic music transcription research activity, longer-term projects have been undertaken by Stanford university (in particular the Centre for Computer Research in Music and Acoustics, referred to as CCRMA in the Table 2.1), University of Michigan (U-M), University of Tokyo (UT), National Institute of Advanced Industrial Science and Technology (AIST), Massachusetts Institute of Technology (MIT), Queen Mary University of London (QMUL), University of Cambridge (CAM), Tampere/Helsinki University of Technology and (TUT/HUT), the Institut de Recherche et Coordination Acoustique/Musique (IRCAM) of Paris, France. Other names and abbreviations, not included in the above mentioned list, refer either to the name of the research projects, or to the commercial development of such systems (e.g., KANSEI, SONIC, YIN).

- Year: the year of publication of the referenced papers.
- System Input / Output: this field contains specifications, if they exist, on the input audio file, and it reports also the output format of the transcription process, whether described in the referenced papers.
- **Pre-Processing and Mid-Level**: a list of the signal processing techniques, used to obtain a useful front end.
- **Real time / Offline**: this field specifies, if the system operates in real time or not.
- **Source Availability**: this specifies if the source code is available, directly or web-linked.
- Mono / Poly: this field shows if the system is mainly dedicated to monophonic or polyphonic transcription.
- **Time / Frequency:** indicates if the signal processing techniques used by the algorithm (which are listed in the *Pre-Processing and Mid-Level* categories described above) operates either in the time or in the frequency domain.
- **Pitch Estimation Knowledge**: a brief description about the approaches and the knowledge used to extract pitch information.
- **Rhythm Info Extraction**: in this field the techniques used to retrieve temporal information of estimated F0s (where this task is performed) are summarized. It is divided into two sub-fields: **Onsets** and **Durations**, as they are often estimated with different strategies.
- Evaluation Material: this section shortly reports, where described, the type of the dataset used for evaluation and the number of test files / samples. Evaluation results are omitted. Only MIREX results are reported, for all those algorithms which participated in the past editions. As to this topic, noteworthy is to highlight that a methodology for the evaluation of music transcription systems has not been firmly established yet. The transcription output (MIDI file or piano-roll usually) is compared with a reference ground truth of the audio source data; evaluation databases generally provide a reference MIDI file for each audio track or sample contained. Further work has often to be done, in order to check the correct alignment between the two representations. The procedure is as follows: a graphical comparison is commonly made, by using a dedicated GUI or other devices, between the audio signal spectrogram and the

piano-roll of the reference MIDI; then a manual alignment is performed for the corresponding note events. An example of this graphical alignment is illustrated in Figure 2.4. Apart from defining the ground truth reference, evaluation criteria and parameters must be defined in order to design a comprehensive and well organized evaluation method. The MIREX framework proposes a validation approach which is becoming a standard reference in recent literature. For the evaluation of music transcription algorithms, two MIREX tasks are defined:





Fig. 2.4. Example of graphical time alignment between input audio spectrogram and ground truth reference MIDI.

1. Multiple F0 Estimation on a frame by frame basis. In this task, submitted systems are requested to report detected active pitches every 10 ms. A returned pitch is assumed to be correct (*true positive*, TP) if it is within a half semitone (\pm 3%) of a ground-truth pitch for that frame. Otherwise, if a returned pitch is not present in the ground truth data, it is classified as a *false positive* (FP); finally, each not detected ground truth pitch is classified as a *false negative* (FN). Three performance measures are defined for this task: *Precision*, which is the portion of correct retrieved pitches for all the pitches retrieved for each frame.

$$Precision = \frac{TP}{TP + FP}.$$

Recall: it is the ratio of correct pitches to all the ground truth pitches for each frame.

$$Recall = \frac{TP}{TP + FN}.$$

Accuracy: it is an overall measure of the transcription system performance, given by:

$$Accuracy = \frac{TP}{TP + FP + FN}.$$

2. Note Tracking (NT) task. A ground truth note is assumed to be correctly transcribed if the system returns a note that is within a half semitone $\pm 3\%$) of that note AND the returned note's onset is within a 100ms range (± 50 ms) of the onset of the ground truth note, and its offset is within 20% range of the ground truth note's offset. NT evaluation is further divided into the following subtasks: Mixed Set Note Tracking and Piano Only Note Tracking. For this task, a measure which is considered to indicate more correctly the balance between false positives and false negatives, is defined as follows:

$$F - Measure = 2 \frac{Precision \cdot Recall}{Precision + Recall}.$$

• Additional Notes: under this entry, any further noteworthy information, which can not be classified according to the defined categories, is recalled.

When the value of a certain parameter is missing, or information about one of the defined fields is not available in the referenced paper, the abbreviation N.A. is used in Table 2.1. In Table 2.2, other acronyms used in Table 2.1 are defined.

The authors of the present chapter have brought their original contribution with the music transcription system described in section 2.3.8, and listed at the end of Table 2.1.

Reference	Voor	Stratum Lanut / Ottant	Pre-Processing	Real time	Source	Mono 1	lime	Pitch Estimation	Rhythm Info E	xtraction	Addiotional	Evaluation
(Group)	I car	system input / Output	& Mid-Level	Offline	Avail.	Poly F	req.	Knowledge	Onsets	Durations	Notes	Material
		I N.A.	Band-pass filter bank				╞──	Time periodicity research			Max # voices: 2	
(Moorer 1977)	1975		(optimum comb filter)	N N	No	Polo	Ĺ	by detecting sinusoidal	No	No	Limited freq. range	Synthesized violin
(CCRMA)	1977	0 N.A.	Short - time FFT			ĥor		components	2		F0s ratio can't have an integer relationship	and real guitar duets
(Piszczalski and		I N.A.	Spectral equalization					Evaluation of harmonic			Robust with missing F0	Synth. and real
Galler 1977)	1979	F0s and		Offline	No	Poly	ц Н	clations among spectral peaks	No	No	and inharmonic partials	signals (carillon bells)
(M-U)		o amplitudes list	to enhance partials									
(Eriodman 1070)	1070	I Speech signal, fs=10 kHz	Band-Pass FIR	Suitable for		Delv	F	Zero-crossing rate detection	No	No	Pitch estimation	3-second
	6161	0 24 ms pitch frames	Lo-Freq emphasis	Real time		r ury	1	upon processed waveform	01	IND	for speech	speech sample
(Chafe and Jaffe 1986)	1986	I Digital audio recording	Bounded Q Frequency Transform	N.A.	No	Poly	ĹI.,	Grouping partials in sinusoidal analysis	Detect changes in spectral energy	No	Knowledge of source acoustic applied	N.A.
(CCRMA)		0 Hihg-level MIDI score							over time			
(Katayose and			Time - frequency map					Peaks extraction in the			Heuristic rules	System developed for
Inokuchi 1989)	1080	14-74-	obtained with the	V N	No	Dolv	Ĺ	frequency domain	No	No	implemented to group	piano, guitar and
(KANSEI)	6961	V N U	interpolation method	W.W.	0NI	r ury	-	and matching procedure	ON1	0NT	detected frequency	shamisen. Results
			using complex spectra.								peaks into notes.	are not reported.
		V N I	Correlogram: cochlear					Periodicities research in the				Acoustical Society of
(Slancy and	1000		model, 2nd order filter	V N	Dartially	Doly	ц	correlogram by use of the	No	No		America Database
Lyon 1990)	0661	O Time-frequency	bank, HWR and Auto-	WW.	ratuaty	ruy	-	autocorrelation function	ON1	R.	l	Qulitative, not
		pitch representation	matic Gain Control									clear results
		1 Digital audio	Short-time FFT (512 and					McAulay-Quatieri sinusoidal			Limited to duets, non	Synth samples and real
(Mahar 1000)	1000	signals < 20 s	1024 sample window)	Offline	No	Poly	ц	& two-way mismatch analysis	No	No	ovrlapping frequency	signals (basson/ clari-
	0661	Chains of peaks for	Ui 6-10 ann amhrann		0 N	t or à	-	Several strategies to resolve	04	R	ranges; nearly	net and trumpet/tuba)
		partials tracking	rit-iteq. pre-empirasys					colliding sinusoidal partials			harmonic sounds	Qualitative results

 Table 2.1. Comparison of Automatic Music Transcription Systems.

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Evaluation	Material	Synthesized Vivaldi Concerto (op. 3, no. 6)		Synth. random chords	Good recognition up	to 2 voices	Bach piano excerpts	Non extensive tests	2-3 voices synthesized MIDI chords with real	instruments samples	4-voices Bach Corale: Bad in octave detection	Good recognition in B2 - A4 notes interval	Mono & Poly test on Bach piano pieces	Qualitative results	Not specified dataset High error rate	for typical musical signals are revealed
Addiotional	Notes	Timbre models to detect different instruments		Automatic timbre	modelling based on	perceptual rules	l		Many knowledge sources applied	(timbre, chord type)			Advantages of auditory models in detecting	octave intervals	General source models Masking effect test	Post processing to kill too short notes.
xtraction	Durations	No			No		No		No		No	2	No		Ň	0N
Rhythm Info E	Onsets	No			No		Hi-freq. energy con- tent; bilinear time-	domain filtering	No		Peaks picking on squared and	low-pass filtered signal energy	Energy maxima of	signal envelope	SN.	001
Pitch Estimation	Knowledge	Peaks - peaking in STFT (segre- gation); statistic rules for	partials grouping (integration)	Frequency content extraction	by pinching planes thresholds	and bottom-up clustering	Spectral comb filtering for note identification		Frequency content extraction by pinching plancs and	Bayesian network integration	Knowledge-based source (KS) applied to sinusoidal track	extraction	Periodicities research in the correlogram (autocorrelation)	Knowledge sources applied (Blackboard framework)	Prominent harmonic pattern search in synth. spectrum	(ampltudes of peaks are set after a quality-of-fit measure).
Time	Freq.	Ľ.			ц		Ц		Ц		[1	-	Ľ		L	4
Mono	Poly	Poly			Poly		Poly		Poly		Poly	1 cuj	Poly		Dele	ruy
Source	Avail.	No			No		No		oN		No.	2	oN		νN	
Real time	Offline	.N.N			N.A.		N.A.		N.N.		enimo.		N.N.		Not true	real time
Pre-Processing	& Mid-Level	A/D conversion and spectrogram	representation	Frequency Analysis:	band-pass 2-order	IIK IIIEIS	Short-time spectral analysis		STFT		STFT	Blackboard architecture front-end	Log-lag correlogram (Auditory model of	pitch perception)	Multi scale sinusoidal model	(constant-Q) filter bank
stem Innut / Outnut	undino cundur more	Monaural signals	multi-channel MIDI	Monaural signals	(48 kHz / 16 bits)	multi-channel MIDI	N.A.	N.A.	Monaural signals	multi-channel MIDI	CD quality audio input	Transcription in counterpoint style	N.A.	MIDI file, symbloic score or piano-roll	N.A.	MIDI file
ar Sv	1 of	1 1	0	I	33	0	1 13	0	1 15	0	I	0	I 90	0	I	0
100		l õ			561		199		199		100	2	199		100	6
-	T CO	16				-									s	

Reference	Van	Svetam Innut / Outmut	Pre-Processing	Real time	Source	Mono	Time	Pitch Estimation	Rhythm In:	fo Extraction	Addiotional	Evaluation
(Group)	1 C41	induc andur maiste	& Mid-Level	Offline	Avail.	Poly	Freq.	Knowledge	Onsets	Durations	Notes	Material
(Tolonen and Karjalainen 2000)	0000	I N.A.	Pre-whitening filtering two channels -	-	;	-	ACF Transf.	Periodicity estimation by the summary autocorrelation	2	5	F0 estimation examples	2-4 Clarinet tones mixed to form
(HUT)	7000	0 N.A.	filter bank (Hp and LP, crossover @ 1 kHz)	Kcal time	No	Poly	domain	function on both channels	N	0N	available on Web	various chords
(Goto 2000) (Goto 2004)	2000	I 16-bit PCM signal fs = 16 kHz	STFT obtained with a multi-rate filter bank	Real time	No	Polv	L1	Frequency-to-instantaneous frequency mapping	QN	Ň	Melody and bass line detection from real-	10 excerpts from commercial
(AIST)	2004	0 N.A.	Band-pass filter to split the spectrum in 2 freq. regions		2	61	-	Maximize probability func- tion for each candidate F0	2	2	world audio signals	CD recordings.
(Marolt 2001)	1000	I PCM signal, fs=44.1 kHz	Auditory model	N N	Voc	Dol.	ų	Network of	Multy-layer	Time observation	Piano transcription	120 synthesized
(SONIC)	1007	0 MIDI file	200 gammatone filters	.W.M	1 1 29	r ury	-	adaptative oscillators	perceptron NN	of NN activity	Note range: A1-C8	piano pieces
(Cheveigné and Kawahara 2002)		I N.A.	Autocorrelation	Suitable for	;	;	ŗ	Difference function (similar to autocrrelation function)	;	;	Cumulative mean function and parabolic	Speech databases
(NIN)	2002	0 N.A.	(ACF) Method	real time	No	Mono	L	computed via FFT, to find periodicities in signals	No	0N	interpolation to reduce sub-harmonic errors	Informal evluation on music
(Ranhael 2002)	2002	I N.A.	Fourier analysis	ΨN	Ŋ	Polv	Ц	Generative probabilistic	Signal "burstine	ass" measures for	Method for piano	Excerpts from Mozart's
(replace 2002)	7007	0 MIDI file	of input audio signal		2	fio 1		HMM (Baum-Welch training)	attack, steady £	and silence states	music transcription	piano sonata 18, K570
(Godsill and		I PCM, 22.05 kHz	Sinusoidal model differen-					Bayesian Models	Frame	by frame	Audio examples	Solo flute extract
Davy 2003) (CAM)	2003	0 frame by frame F0 list	tiated for mono/poly	N.A.	No	Mono	Н	MCMC harmonic inference	F0 tr	acking	on the Web	(Debussy's Syrinx)
(Klapuri 2003)		1 16-bit PCM signal	DFT on Hamming		Algorithm			Analysis of harmonic relation-			Iterative estimation and	Mixed samples from
(TUT)	2003	fs = 44.1 kHz	windowed signal frames	N.A.	only	Poly	ĹŦ.,	ships between partials	No	No	harmonic pattern cancella-	McGill, Iowa and
		O Frame by frame F0 list	Signal plus noise model					on 18 overlapping bands			tion from the spectrum	IRCAM database
(Bruno and		I 16 bits PCM, 44.1 kHz	Patterson-Meddis			Mono		Neural Network tracking	Peak-Picking	Offset detected	Different instrument	Piano, guitar and
(cnnz Isani	2005		audioly moust	N.A.	°N N	roiy	ц	of pitches detected by the	algorithm on	by Neural Networks	Training Mode' available	vioun sampres
		U List of note parameters							ndorra mußte	extromout	Aromana Arona Summar	Bach corale excerpt

Evaluation Material	Excerpts from RWC Database	Disklavier played piano MIDI files. Error rate increases with number of voices	Own recordings of 2-3 voices chords. Qualitative results, especially offset errors	Synthesized, recorded and Disklavier played MIDI files	Excerpts from RWC-Classical and RWC-Jazz databases	Mixed samples from McGill, Iowa and IRCAM database
Addiotional Notes	3valuated in MIREX 2007-08 Accuracy » 61% (MF0E) F-measure » 34% (NT)	Method for transcription of recorded piano music. Use of a hybrid method combining time-freq. info	The approach used allows to remove the frame by frame assumption for audio analysis	Method for piano music transcription; Evaluation test are available	Evaluated in MIREX 2007, 2008 and 2009 editions: Accuracy » 49% (MF0E) F-measure » 32% (NT)	Iterative estimation and harmonic pattern cancellation from the summarv spectrum
Extraction Durations	Note events H tracking by HMM	arameters gratin frame over time	d offsets y transitions ute-sound of crators	No	imation TC model	No
Rhythm Info Onsets	Positive changes in F0s strength	Temporal J estimated inte estimations	Onsets an are detected b of the states m the genu	Note tracking by two state (on/off) HMM	Joint esti by using H	Energy peaks detection on signal envelope
Pitch Estimation Knowledge	Comb filters bank estimates periodicity in Freq. domain	Generate F0 hypotheses for revelant amplitude partials Heuristic rules for partials grouping	Use of bayesian networks, switching Kalman filters and a generative model to esti- mate note parameters.	87 One-versus-all SVM classifier for each piano note trained using Sequential Minimal Optimization	Harmonic temporal clustering (HTC) model for source separation	Periodicities search in the Summary spectrum. Detect F0 as peaks of a salience function
Time Freq.	Ľ.	[<u>r</u> .		 	<u>17.</u>	Ľ.
Mono Poly	Poly	Poly	Poly	Poly	Poly	Poly
Source Available	No		No	No	No	No
Real time Offline	N.A.		Real time	N.A.	Offline	Real time
re-Processing & Mid-Level	s band pass filter T for all bands	ectral smoothing nes modeled as im of an internal iano waveforms	d sinusoidal tte space form) 1 a piano-roll presentation	LAI	olution power t obtained via velet transform	(gammatone) k (two types of IIR resonators) odel, HWR
H	70 Channels HWR, STI	STFT & sp Signal frar weighted su database pi	Modifie model (ste to obtain like rej	Š	Multi-res spectrum Gabor wa	Auditory filter ban 2nd order IHC n
System Input / Output	I PCM stereo, 44.1 kHz 70 Channel MIDI file HWR, ST1	PCM files STFT & sp fs = 22.05 kHz Signal frat oweighted st weighted st	I N.A. Modifie model (st Piano-roll to obtain of note parameters like ret	I 8 kHz sampled audio from MIDI files S' N.A. S'	PCM files Multi-res fs = 44.1 kHz spectrum F0s, onsest & Gabor war offsets list offsets list	I N.A. Auditory filter ban 0 N.A. 2nd order IHC n
Year System Input / Output F	2005 1 PCM stereo, 44.1 kHz 70 Channel. 2005 0 MIDI file HWR. STI	PCM files STFT & sp 2006 fs = 22.05 kHz Signal fra 0 weighted st database pi	2006 1 N.A. Modifie 2006 Piano-roll to obtain 0 of note parameters like ret	2007 1 8 kHz sampled S kHz sampled S contraction of the sampled S contraction of the sampled S contraction of the sampled set o	PCM files Multi-res 2007 fs = 44.1 kHz spectrum 2007 F0s, onsest & Gabor war Gabor war	1 N.A. Auditory 2008 0 N.A. Effect ban

Evaluation	Material	Synthesized, real instru-	ments & singing voice	Non standard evaluation metrics used for F0 est.	4000 chords; random	mixtures of various samples	(1 to 4 voices polyphony)		43 Disklavier	30 seconds excerpts			Samples from McGill,	Iowa, IRCAM database			10 real music performances	(4-parts Bach's chorales)			Excerpts from RWC	Classical datbase;		
Addiotional	Notes	The system performs bad	F0 recognition for	inharmonic sounds	Evaluated in MIREX 2007	(previous version) & 2008	Accuracy » 62% (2008 MF0E)	F-measure » 25% (2008 NT)	Evaluated in MIREX 2007,	improved in MIREX 2008	Accuracy » 54% (2008 MF0E)	F-measure » 20% (2008 NT)	Evaluated in MIREX 2007,	improved in MIREX 2008-09	Accuracy » 69% (2009 MF0E)	F-measure $\gg 36\%~(2008~\rm NT)$	Evaluated in MIREX 2009	Accuracy » 57% (MF0E)	F-measure » 22% (NT)		Evaluated in MIREX 2009	1st ranked in piano NT task	Accuracy » 48% (MF0E)	F-measure » 23% (NT)
Extraction	Durations		No			No	04		Offset est.	is the same	as for	onsets	using a	IM model	attack and	d	ctories by	ustering	wo class:	annot-link	Tracking	of note	events	on STFT
Rhythm Info I	Onsets		No			No	0		Thresholding	amplitude se-	quence of each	detected pitch	F0 tracking	high-order HN	with two states:	sustai	Build pitch traje	constraint clu	problem with t	must-link and c	Peaks-picking in	Kullback-Leibler	divergence (over	spectral frames)
Pitch Estimation	Knowledge	Maximum Likelihood F0 est.	Average Harmonic Strucutre	(AHS) extraction for source separation	Candidates F0 with best	salience function, calculated	by considering partial ampli-	tude and spectral smoothness	NMF methods using harmonic /	inharmonic constraints on the	basis spectra with fixed/adapta-	tive tuning + spectral smoothness	Spectral matching,	spectral smoothing and	synchronous amplitude	evolution of single sources	Maximum Likelihood	parameter estimation in the	frequency domain, using also	neighboring frames estimates	Iterative 2D harmonic pattern	matching (in the bispectrum	domain) and subsequent	cancellation
Time	Freq.		Ц	-		ц	-			Ц	-			Ц	-			Н				Ľ	ц	
Mono	Poly		Polv	1 01		Dolv	1 019			Polv	t or i			Polv	fin i			Polv				1-1-0	roiy	
Source	Avail.		Ň	2	No											9								
Real time	ffline					2				Ŋ	R			No				~				2	0N	
50	0		٩N			~ ~				Offline No.				N A				N.A.				- man		
Pre-Processin	& Mid-Level C		STET		STFT	Gaussian smoothing	of spectral patterns		ERB - scale time/freq.	representation Offline No.	(similar to STFT)		Spectral analysis	based on sinusoidal N A No.	and noise model		Spectral analysis	Spectrum divided N.A.	into peaks and	non-peaks regions	Joint constant-Q and	Bispectral (higher	order spectra)	analysis
Pre-Processin	System input / Output & Mid-Level C	DCM andio simals	I FCM audio signais STFT N A	Piano-roll (F0 tracking)	PCM mono audio STFT	signals, $fs = 44.1 \text{ kHz}$ Gaussian smoothing N_{A}	Sequence of of spectral patterns	MIDI notes	N A ERB - scale time/freq.	representation Offline No	(similar to STFT)		N A Spectral analysis	based on sinusoidal N A No.	and noise model		PCM audio signals Spectral analysis	fs = 44.1 kHz Spectrum divided $N.A.$	N A into peaks and	non-peaks regions	PCM mono or stereo Joint constant-Q and	16 bits, $fs = 44.1 \text{ kHz}$ Bispectral (higher	MIDI file; pitches, order spectra) UTIIMe No	onsets & offsets list analysis
Vant Svietem Innut / Outnut Pre-Processin	1 carl System Input / Output & Mid-Level C	1 DCM audio simals	1 FUM audio signals	2000 Piano-roll J111 MAN	PCM mono audio STFT	signals, fs = 44.1 kHz Gaussian smoothing Ni A	Sequence of Sectral patterns TV.	MIDI notes	T ERB - scale time/freq.	2008 representation Offline No	(similar to STFT) (similar to STFT)		I Spectral analysis	2008 based on sinusoidal N A No.	and noise model with the second secon		PCM audio signals Spectral analysis	$\begin{bmatrix} 1 & \text{fs} = 44.1 \text{ kHz} \\ 2009 \end{bmatrix}$	O N A into peaks and	non-peaks regions	PCM mono or stereo Joint constant-Q and	$\left[16 \text{ bits, fs} = 44.1 \text{ kHz} \right]$ Bispectral (higher $\sim 10^{-10}$	2009 Offline No Offline No Offline No Offline No	onsets & offsets list analysis

Table 2.2. Definition of acronyms used in Table 2.1.

ACF	Autocorrelation Function	IHC	Inner Hair Cell
AHS	Average Harmonic Structure	IIR	Infinite Impulse Response filter
DFT	Discrete Fourier Transform	MCMC	Markov Chain Monte Carlo
F0	Fundamental Frequency	MF0E	Multiple F0 Estimation MIREX task
FFT	Fast Fourier Transform	NN	Neural Network
FIR	Finite Impulse Response filter	NT	Note Tracking MIREX task
fs	Sampling Frequency	PCM	Pulse Code Modulation
HMM	Hidden Markov Models	RWC	Real World Computing database
HTC	Harmonic Temporal Clustering	STFT	Short Time Fourier Transform
HWR	Half Wave Rectification	SVM	Support Vector Machine

2.3 Review of Some Music Transcription Systems

2.3.1 Moorer (1977)

Moorer was one of the first, in literature, to propose a system which attempted to separate simultaneous harmonic sounds in a polyphonic mixture (Moorer 1977). His system has been developed to track pitches of both synthesized and real duets, although it presents several strong limitations: sounds are supposed to be harmonic and characterized by constant amplitude (no vibrato or jitter is therefore allowed). In addition, the two voices should not cross in pitch, and the two fundamental frequencies should not be in an *1:N* relationship, which is equivalent to a complete overlapping of the partials of the concurrent sounds. The frequency range of analysis is also limited. The mid-level spectral representation is obtained by using a bank of band-pass filters, called *optimum comb filter*. This has been demonstrated to be a robust but computationally expensive algorithm; the pitch estimation strategy is to search for periodicities in the input signal by minimizing the summed absolute value of its magnitude difference. The system has revealed relatively good recognition performances with synthesized strings and real guitar duets.

2.3.2 Slaney and Lyon (1990)

Human great capabilities of perceiving pitch, even in cases of missing fundamental frequencies and partials inharmonicity, led to an increasing interest in the *Auditory Scene Analysis (ASA)* in the first half of 90s. One of the first and most remarkable works belonging to this area was the "Perceptual Pitch Detector" by Slaney and Lyon (Slaney and Lyon 1990), based on Licklider's "Duplex Theory" of pitch perception. The system is divided into three stages:

- 1. A Cochlear model which approximates the behavior of the human inner ear system, particularly the response of the auditory nerve. The cochlear model consists of a multi-channel bank of second order filters modeling the propagation of sound along the Basilar Membrane (BM); an array of Half-Wave Rectifiers (HWRs), aimed at emulating the role of the inner hair cells which respond to the BM movement in only one direction; finally, a four stage Automatic Gain Control (AGC) compresses the dynamic range of the processed signal.
- 2. The mid-level representation is obtained by computing the short-time windowed autocorrelation of the output of each cochlear channel. Collecting such information for each channel leads to the *correlogram* 2D representation, which allows to find periodicities (related to the perceived pitches) of the input signal (the latter are located at horizontal positions corresponding to the correlation delay-times equal to the periods of repetition).
- 3. The pitch detector block performs a peak enhancement in the correlogram; then the value at each time-lag is summed across all the frequencies, and the obtained array show peaks in correspondence of possible periodicities in the correlogram. Each detected periodicity τ reveal the presence of a pitched sound at frequency $1/\tau$.

2.3.3 Martin (1996)

Martin proposes the Blackboard architecture for automatic music transcription (Martin 1996b). This name comes from the metaphor of a group of researchers standing in front of a blackboard, working to find out the solution to a problem. This framework is a problem-solving model, which integrates knowledge from different sources and allows the interaction of different parts of the model. An expert musical knowledge, integrated with signal processing and other physical, engineering or mathematical frameworks, is considered useful to accomplish the task of automatic transcription of music.

The front end of Martin's system is an auditory model, similar to the one by Slaney and Lyon: it is a variant of the correlogram, according to Ellis' work. The

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filtering stage is composed by a 40 gammatone filter bank. The input signal is later half-wave rectified, and a short-time autocorrelation is made across each channel, obtaining a correlogram representation. Finally, the autocorrelations are summed across each band, and the time-lag presenting the largest peak is chosen as the *pitch percept*. A *summary autocorrelation (periodogram)* is obtained by averaging each frequency cell output by the zero-lag energy in the same frequency band, and then performing another average across all the frequency channels. This representation is an improvement over standard correlogram, since the periodogram presents a log-lag axis (lag, or inverse pitch, in a logarithmic scale) in addition to usual frequency channels and time axis.

The knowledge source (KS) is a set of five hypotheses (read correlogram frames, summary autocorrelation peaks, propose periodicities, note support and prune notes), which are organized into different levels of abstraction, and added to the periodogram front end, in order to improve the recognition performances.

2.3.4 Goto (2000 and 2004)

Goto was one of the first who proposed a transcription system (*PreFEst*, from "Predominant F0 Estimation") for real-world audio signals (Goto2000), (Goto 2004), characterized by complex polyphony, presence of drum or percussion, and singing voice also. To achieve such a goal, the music scene description and the signal analysis are carried out at a more specific level, focusing on the transcription of the melody and the bass line in musical fragments. Further limitations are imposed: the melody and the bass line should have the most predominant harmonic structure in the middle-high and in the low frequency regions, respectively.

The front end extracts instantaneous frequency components by using a STFT multi-rate filter bank, thus limiting the frequency regions of the spectrum with two band-pass filters. A probability density function is then assigned to each filtered frequency component; this function is a weighted combination of different harmonic-structure tone models. An Expectation-Maximization (EM) algorithm then estimates the model parameters. The frequency value that maximizes the probability function is detected as a predominant F0. Finally, a multi-agent architecture is used to sequentially track F0 peak trajectories, and to select the most stable ones; this operation is carried out by a salience detection and a dynamic thresholding procedures.

2.3.5 Ryynänen and Klapuri (2005)

This system (Ryynänen and Klapuri 2005) uses a probabilistic framework, a hidden Markov Model (HMM), to track note events. The multiple F0 estimator front end is based on auditory model: a 70-channel bandpass filter bank splits the audio input into sub-band signals which are later compressed, half-wave rectified and low-pass filtered with a frequency response close to 1/*f*. Short time Fourier Transform is then performed across the channels, and the obtained magnitude spectra are summed together into a summary spectrum. Predominant F0 estimation, and cancelation from the spectrum of the harmonic set of detected F0 is performed iteratively. Onset detection is also performed by observing positive energy variation in the amplitude of detected F0 values. The output of F0 estimator is further processed by a set of three probabilistic models: a HMM note event model tracks the likelihood for each single detected note; a silence model detects temporal intervals where no notes are played; finally, a musicological model controls the transitions between note event and silence models.

2.3.6 Vincent, Bertin and Badeau (2008)

Vincent, Bertin and Badeau have proposed a system based on Non-negative Matrix Factorization (NMF) [ViBeBa08]. By using this technique, the observed signal spectrogram (Y) is decomposed into a weighted sum of basis spectra (contained in H) scaled by a matrix of weighting coefficients (W):

Y = WH

Since the elements of Y are non-negative by nature, the NMF method approximates it as a product of two non-negative matrixes, W and H.

The system at issue uses a family of constrained NMF models, where each basis spectrum is a sum of narrow-band spectrum (scaled by a model function of the spectral envelope) containing partials at harmonic or inharmonic frequencies. This assures that the estimated basis spectra are pitched at known fundamental frequencies; such condition is not always guaranteed if standard NMF models are applied without any of these constraints.

The input signal is first pre-processed to obtain a representation similar to the Short-time Fourier Transform, by performing an ERB-scale representation. Then, the parameters of the models are adapted by minimizing the residual loudness after applying the NMF model: the linear parameters (amplitude sequence, envelope coefficients) are multiplicatively updated, while the other nonlinear parameters (tuning and inharmonicity factors) are updated via a Newton-based

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optimizer. Pitches, onsets and offsets of detected notes are transcribed by simply thresholding the amplitude sequence.

The system has been evaluated in the MIREX 2007 framework: the two submitted versions reached average accuracies of 46.6% and 54.3% in the task 1 (multi-F0 estimation over 10 ms frames) and an average F-measure of 45.3% and 52.7% in the task 2 (note tracking).

2.3.7 Chang, Su, Yeh, Roebel and Rodet (2008)

In this method (Chang et al. 2008), instantaneous spectra are obtained by FFT analysis. A noise level estimation algorithm is applied to enhance the peaks generated by sinusoidal components (produced by an unknown number of audio sources) with respect to noise peaks. Subsequently, a matching between a set of hypothetical sources and the observed spectral peaks is made, by using a score function based on the following three assumptions: *spectral match with low inharmonicity, spectral smoothness* and *synchronous amplitude evolution*. These features are based on physical characteristics generally showed by the partials generated by a single source.

Musical notes tracking is carried out by applying a high order hidden Markov model (HMM) having two states: *attack* and *sustain*. This is a probabilistic framework aimed at describing notes evolution as a sequence of states evolving on a frame by frame basis. The goal is to estimate optimal note paths and the length of each note trajectory. The connection weights among the different states are calculated in the forward tracking stage; candidate best trajectories are estimated iteratively in the backward stage, by extracting most likely paths between recorded roots and leaves. Finally, the source streams are obtained by pruning the candidate trajectories, in order to maximize the likelihood of the observed polyphony.

The system has been evaluated within the MIREX 2007 framework, and improved versions were submitted to MIREX 2008 and MIREX 2009 contests. Best multiple F0 estimation accuracy of 69% has been achieved in 2009 running (1st ranked in task 1): this is currently the highest accuracy reached in all the MIREX editions for the first task. Best performance in the note tracking task was reached in 2008 edition, with an F-measure of 35.5% (1st ranked).

2.3.8 Argenti, Nesi and Pantaleo (2009)

This transcription method [ArNePa09] has an original front-end: a constant-Q bispectral analysis is actually applied to the input signal. The bispectrum belongs to the class of higher-order spectra (HOS), or polyspectra. They are defined as the Fourier Transform of corresponding order cumulants, which are strictly related to

statistical moments. The bispectrum, in particular, is also known as the third-order spectrum: it is a bivariate frequency function, $B(f_1, f_2)$, capable of detecting nonlinear activities like phase or frequency coupling, for example amongst the partials of a sound, or a mixture of sounds.

Pitch estimation is performed by harmonic pattern matching procedure in the bispectrum domain. In the spectrum domain, a monophonic musical signal is described as a comb-pattern of amplitude peaks, located at integer multiple values of the fundamental frequency. In the bispectrum domain, a monophonic sound composed of T partials generates a 2D pattern characterized by peaks positions

$$\{(f_i, f_i), (f_{i+1}, f_i), \dots, (f_{T-i}, f_i)\}$$

$$i=1,2,\ldots,\left\lfloor \frac{T}{2} \right\rfloor.$$

Examples of bispectrum representation of some synthesized audio signals are depicted in Figure 2.5. Two sounds presenting some colliding partials generate spectral overlapping patterns; this is a well known problematic situation that leads to detection errors in a pattern matching/correlation based method; besides, in a iterative pitch estimation and cancelation/subtraction algorithm, cancelation of 1D spectral pattern may cause loss of information, or degradation of the input signal. On the contrary, the geometry of bispectral 2D pattern is more useful in preserving information about overlapping partials. This is demonstrated by evaluation results, made on excerpts from the RWC database: a comparison between a spectral based and a bispectral based transcription system (both performing an iterative F0 estimation and harmonic pattern cancelation procedure) shows that the latter outperforms the former, with average F-measures of 72.1% and 57.8%, respectively.

Onset detection are estimated using the Kullback-Leibler divergence, which gives a measure of amplitude difference among consecutive spectral frames, thus highlighting energy variations which are expected to be found at onset times. Note durations are estimated by thresholding the spectrogram envelope.

The system has been evaluated in the MIREX 2009 framework: it has reached a 48.8% frame by frame F0 estimation accuracy (task 1); it has been 3rd ranked in the mixed set note tracking (task 2a, with an F-measure of 22.7%), and 1st ranked in the piano-only tracking note task (task 2b).



Fig. 2.5. Bispectrum representation of a monophonic synthesized audio signals (a) and of a synthesized bichord (b). The regions into dotted lines (in the bispectrum domain) highlight that local maxima of both single monophonic sound patterns are clearly separated, while they overlap in the spectral representation.

2.4 Discussion and Conclusions

From this review work some general aspects, concerning automatic music transcription systems can be gathered. Automatic transcription of polyphonic music is one of the most challenging task in the MIR research field; in fact, this is to be considered as a conjunction of several tasks, which can be accomplished jointly or by using dedicated procedures. From this point of view, a modular architecture seems to be the most robust approach for a problem solution. Such construct perfectly matches with Martin's idea of a blackboard architecture (Martin 1996a). Many researchers still believe that signal processing strategies are a fundamental basis, although such strategies, as widely demonstrated, can provide better results if they work jointly with other *a priori* knowledge sources. This statement recalls the parallel between perceptual and brain abstraction levels in human cognition process.

While human perceptual approach to music has been successfully studied and implemented through the *Computational Auditory Scene Analysis (CASA)*, knowledge at higher levels of abstraction is more difficult to be coded into an computational framework, since it must be consistent with experience, and it often needs training to avoid misleading or ambiguous decisions. Such knowledge is commonly represented by all those models which aim at reproducing human capabilities in features extraction and grouping (e.g., harmony related models, musical key finding etc...). The experience of a well-trained musician can be

understood as a greatly flexible and deep network of state-machine like hints, as well as complex matching procedures.

Review of music transcription systems in literature suggests that timefrequency representation (usually performed through short-time Fourier transform) of the signal is the most used front end, upon which pitch estimation and onset/offset detection strategies can be applied. Multi resolution spectrogram representation (obtained by using constant-Q or wavelet transform) seems to be, in our opinion, the most suitable, since it fits properly the exponential spacing of note frequencies, and it also reduces computational load to achieve the desired time/frequency resolution. Auditory model based front ends have been largely studied and applied in the 90s; however, the interest toward this approach has decreased. Time domain techniques are becoming more and more infrequent, since they have provided poor performances in polyphonic contexts.

About pitch estimation strategies, the largely adopted class of spectral content peak-picking based algorithms has revealed to be not sufficient to achieve satisfactory transcription accuracies. Actually, amplitude thresholding in the spectrum domain, as well as simple harmonic pattern matching, leads to frequent false positive detection, if no other knowledge is applied. A large variety of models has been proposed to spectral analysis, and it is not easy to find out if which is the best approach among the others. The most used techniques in recent literature are: Nonnegative Matrix Factorization (Smaragdis and Brown 2003), (Virtanen 2007), (Vincent et al. 2008), Hidden Markov Models (Raphael 2002), (Ryynänen and Klapuri 2005), (Chang et al. 2008), Bayesian models (Kashino et al 1995), (Godsill and Davy 2003), (Godsill et al. 2006), (Dubois and Davy 2007), generative harmonic models (Cemgil and Kappen 2006), and the use of jointed frequency and time information.

Onset detection is often devolved upon detecting rapid spectral energy over time. Techniques such as the phase-vocoder based functions, applied to audio spectrogram, seem to be more robust with respect to peak-picking algorithms performed upon the signal envelope. Offset detection is still considered as of less perceptual importance. Statistical frameworks offer an interesting perspective in solving discontinuities in joint time-pitch information, typically yielded by lower processing levels techniques. On the contrary, other devices that usually reach a deep level of specialization, like neural networks, are more suitable for particular areas or subsets of automatic transcription; actually this kind of tools is often trained at recognizing specific notes or at inferring particular instrumental models (Marolt 2001).

In conclusion, as a key point for future work, we can assert that model based integration seems to be an area definitely more amenable to new solutions, with respect to signal processing field. We expect that the increasing progress and improvements in computational processing will allow to build more and more refined systems, with a higher parallelism degree and a joint involvement of a greater number of techniques.

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